



*Semileptonic B Decays and
Determination of CKM parameters
 $|V_{ub}|$ and $|V_{cb}|$*

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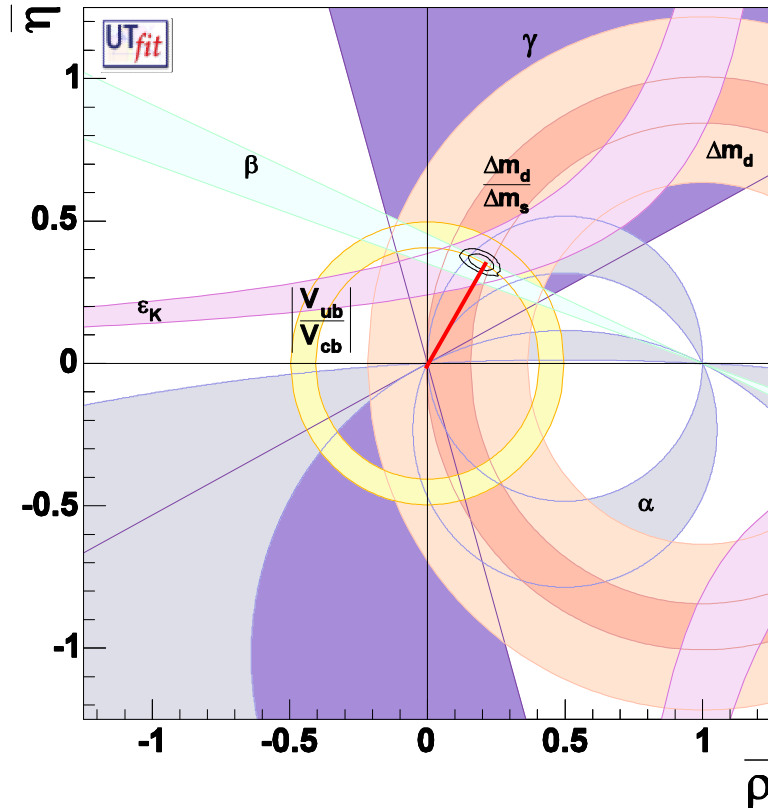
on behalf of the BaBar Collaboration



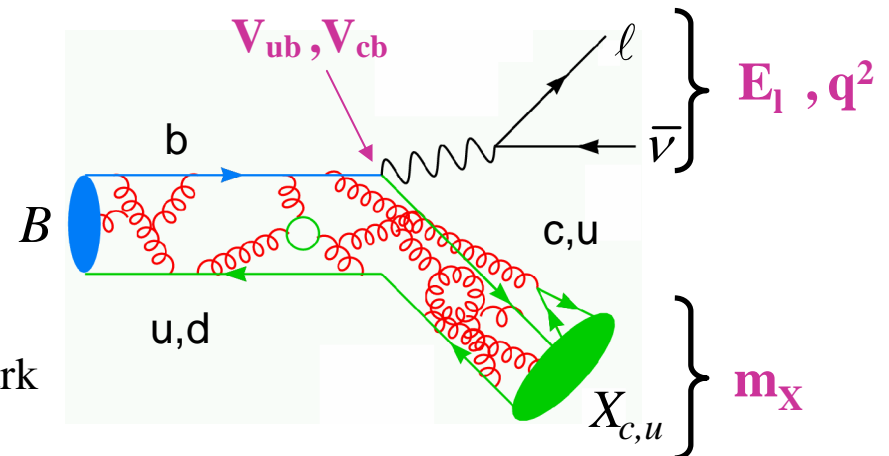
Pascos 06 , September 10-15 2006

Semileptonic B decays: why are they interesting

$|V_{ub}/V_{cb}|$ complements $\sin 2\beta$ to test (in)completeness of the SM

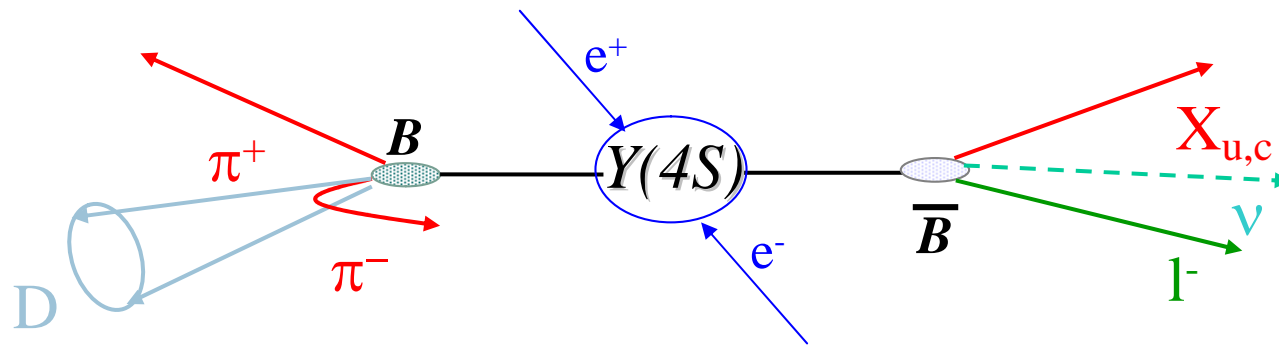


- **Leptonic** and **hadronic** currents factorize
- Sensitive to **strong interactions** in B mesons
 - Study structure of B meson
 - Allow test of e.g. Lattice QCD
- Two experimental approaches:
 - Inclusive: select lepton and look at the rest of the event inclusively
 - Exclusive: reconstruct hadronic final states X
 - Theoretical uncertainties complementary



- Semileptonic B decays provide the best method to measure $|V_{ub}|$ and $|V_{cb}|$
 - Simple theoretical description at parton level
 - Rates depend on CKM matrix element and quark masses

Experimental approaches to measuring $B \rightarrow X_l \nu$



technique

pros/cons

untagged

- no constraint on 'other' B meson
- fully reconstruct signal side (ν reco)

- high statistics
- high background

semileptonic tag

- reconstruct $B \rightarrow D^{(*)} l \nu$ on the tag-side
- tag-B kinematics incomplete $\rightarrow 2 \nu$

- improved purity compared to untagged

hadronic tag

- fully reconstruct hadronic decay $B \rightarrow D^{(*)} Y$ (many modes) on the tag-side
- known kinematics of signal B

- maximum purity
- low efficiency



$b \rightarrow cl\nu$ decays and $|V_{cb}|$

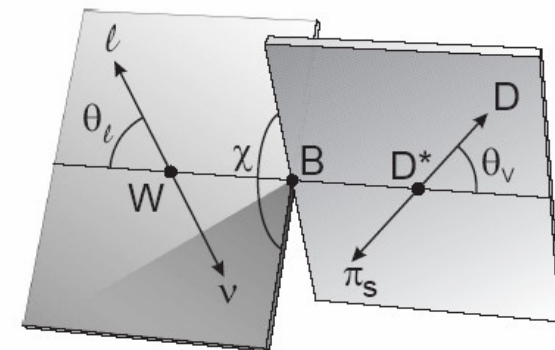
$|V_{cb}|$ from $B \rightarrow D^* \ell \nu$ decays

$B \rightarrow D^* \ell \nu$ decay rate is given by

$$\frac{d\Gamma(B \rightarrow D^* \ell \nu)}{dw} = \frac{G_F^2 |V_{cb}|^2}{48\pi^3} F(w)^2 G(w)$$

dw → D^* boost in the B rest frame
 $F(w)^2$ → form factor
 $G(w)$ → phase space

- ◆ decay can be **experimentally** characterized by 4 kinematic variables: θ_ℓ θ_ν χ W
- ◆ characterize the Form Factors by measuring their ratios $R_1(w)$ and $R_2(w)$ and slope at $w=1$ ρ^2

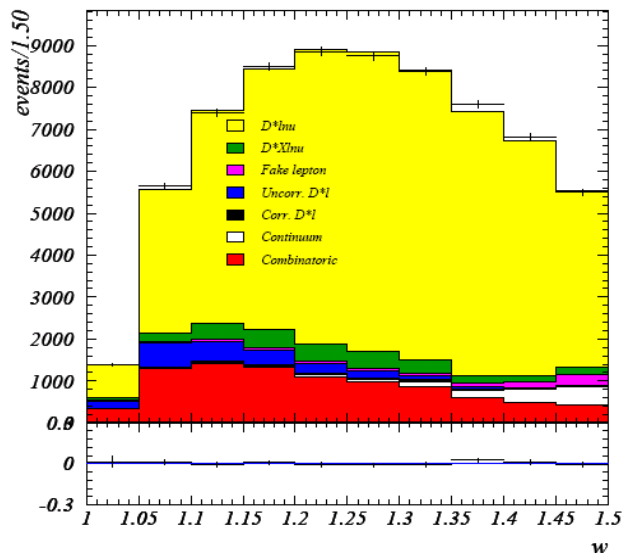
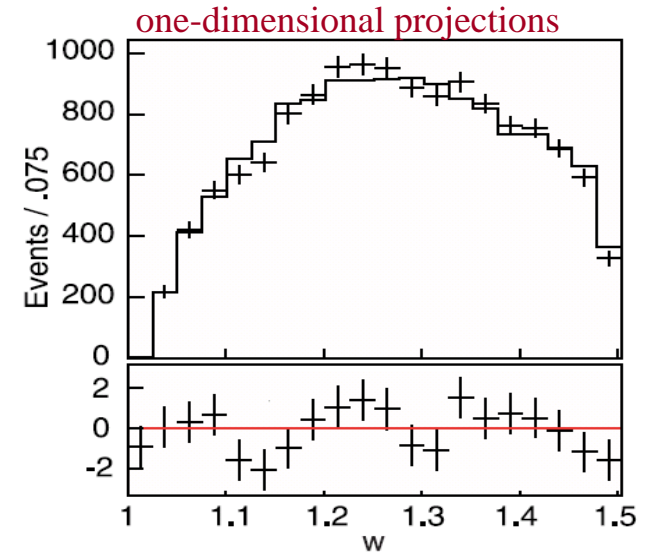


Extract R_1 , R_2 and ρ^2 from multi-dimensional fit to differential decay rate

B⁰ → D^{*-1+}ν Form Factors measurement

- select **D^{*-}e⁺ν** events (D^{*-}→D⁰π⁻, D⁰→Kπ)
- combinatoric background from Δm= m(D⁰π)-m(D) fit
- reduce D^{**} model dependence by estimating D^{**} background fraction by fitting cosθ_{BY} on data
- fit to the four-dimensional decay distribution

BABAR-CONF-05/05 hep-ex/0602023



- select **D^{*-1+}ν** events (D^{*-}→D⁰π⁻, D⁰→Kπ, Kπ π⁰, Kπππ)
- selection criteria and background estimation as in the previous analysis
- simultaneous χ² fit to one-dimensional projections

BABAR-CONF-06/022 hep-ex/0607076

Form Factors and $|V_{cb}|$ results combination

combination of two different analyses of the decay $B^0 \rightarrow D^{*-}l^+\nu_l$

statistical overlap between the two analyses is small

our combinations :

$$\mathcal{B}(B^0 \rightarrow D^{*-}l^+\nu_l) = (4.77 \pm 0.04 \pm 0.39)\%$$

$$\mathbf{R}_1 = 1.417 \pm 0.061 \pm 0.044$$

$$\mathbf{R}_2 = 0.836 \pm 0.037 \pm 0.022$$

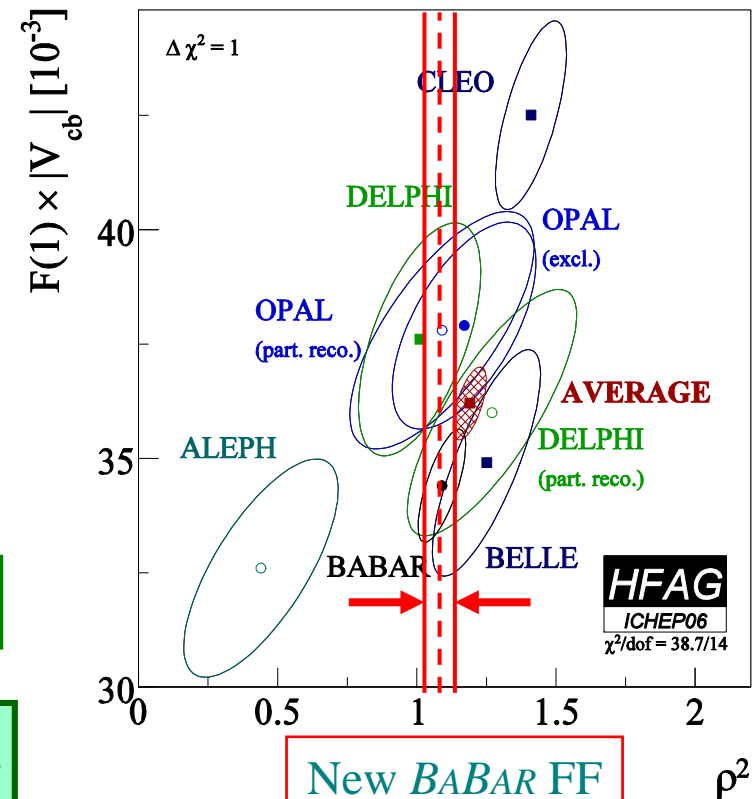
$$\rho^2 = 1.179 \pm 0.048 \pm 0.028$$

factor ~5 improvement
wrt CLEO result
(PRL 76 (1996) 3898)

$$\mathcal{F}(1)|V_{cb}| = (34.68 \pm 0.32 \pm 1.15) \times 10^{-3}$$

from lattice
calculation

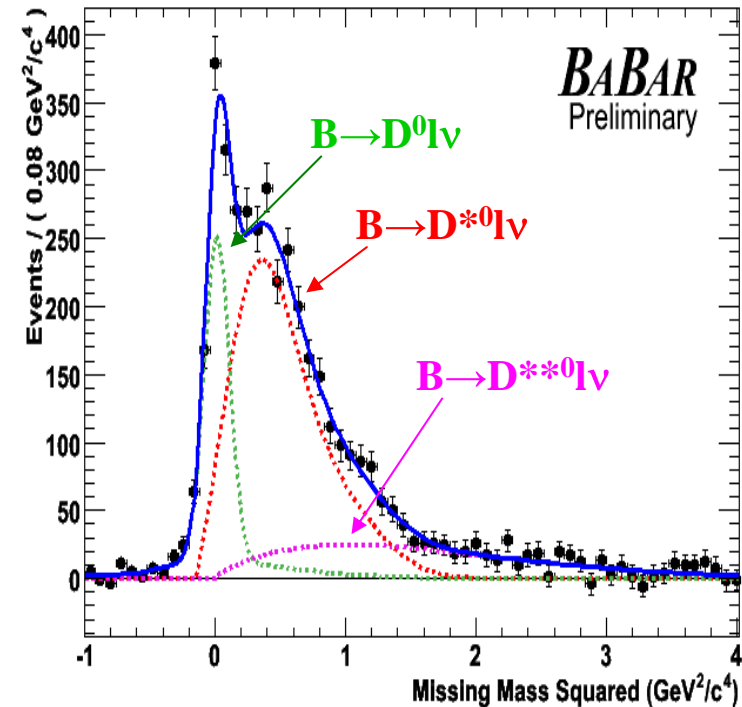
$$|V_{cb}| = (37.47 \pm 0.35_{stat} \pm 1.25_{syst} \pm 1.23_{theo} \mp 1.44_{theo}) \times 10^{-3}$$



$\Gamma(B^- \rightarrow D^{(*)*)l\nu) / \Gamma(B^- \rightarrow DXl\nu)$ measurement

211 fb⁻¹

- measure simultaneously D, D* and D** components in the B⁻ → DXlν sample
- hadronic data
- global χ^2 fit to : missing mass squared, lepton momentum, additional charged track multiplicity
- PDF to fit B⁻ → DXlν built from exclusive B⁻ → D^(***)lν distributions on data



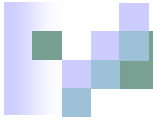
$$\Gamma(B^- \rightarrow D^0 l \nu) / \Gamma(B^- \rightarrow DX l \nu) = 0.210 \pm 0.017 \pm 0.021$$

$$\Gamma(B^- \rightarrow D^{*0} l \nu) / \Gamma(B^- \rightarrow DX l \nu) = 0.611 \pm 0.022 \pm 0.027$$

$$\Gamma(B^- \rightarrow D^{**0} l \nu) / \Gamma(B^- \rightarrow DX l \nu) = 0.173 \pm 0.017 \pm 0.021$$

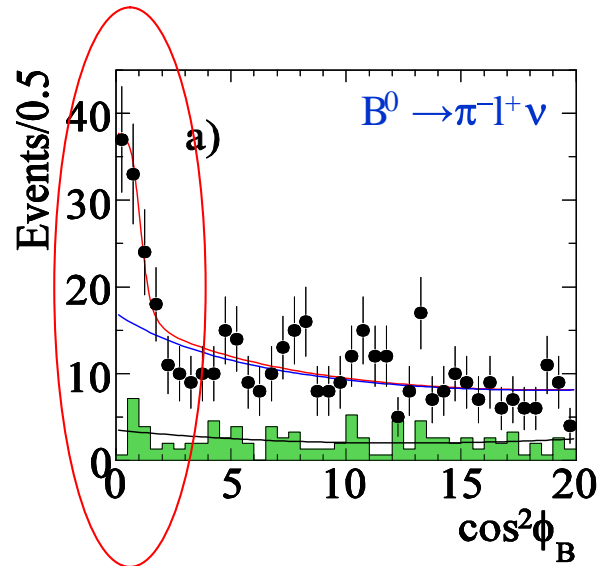
■ first BaBar measurement

■ highest precision

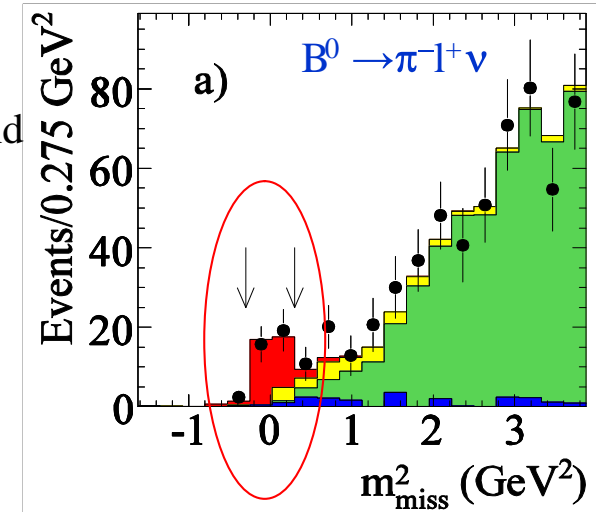


$b \rightarrow ul\nu$ decays and $|V_{ub}|$

$B \rightarrow \pi l \nu$: semileptonic + hadronic tags

211 fb⁻¹

- use **semileptonic** or **hadronic** tagged B
- identify a signal lepton (right charge) and search for a pion among the remaining particles
- no additional tracks and (low) neutral energy
- fit to to the **$\cos^2 \phi_B$** or the **missing mass squared** distribution



Extract the signal in q^2 bins to measure Form Factor shape and reduce model dependence

$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

Needs to combine 4 measurements: $B^0 \rightarrow \pi^- l^+ \nu$ and $B^+ \rightarrow \pi^0 l^+ \nu$ using hadronic and semileptonic tags assuming isospin symmetry $\Gamma(B^0 \rightarrow \pi^- l^+ \nu) = 2 \times \Gamma(B^+ \rightarrow \pi^0 l^+ \nu)$:

- statistical errors are uncorrelated
- most systematic errors fully correlated

B → πlv : untagged

• need for ν reconstruction from full event

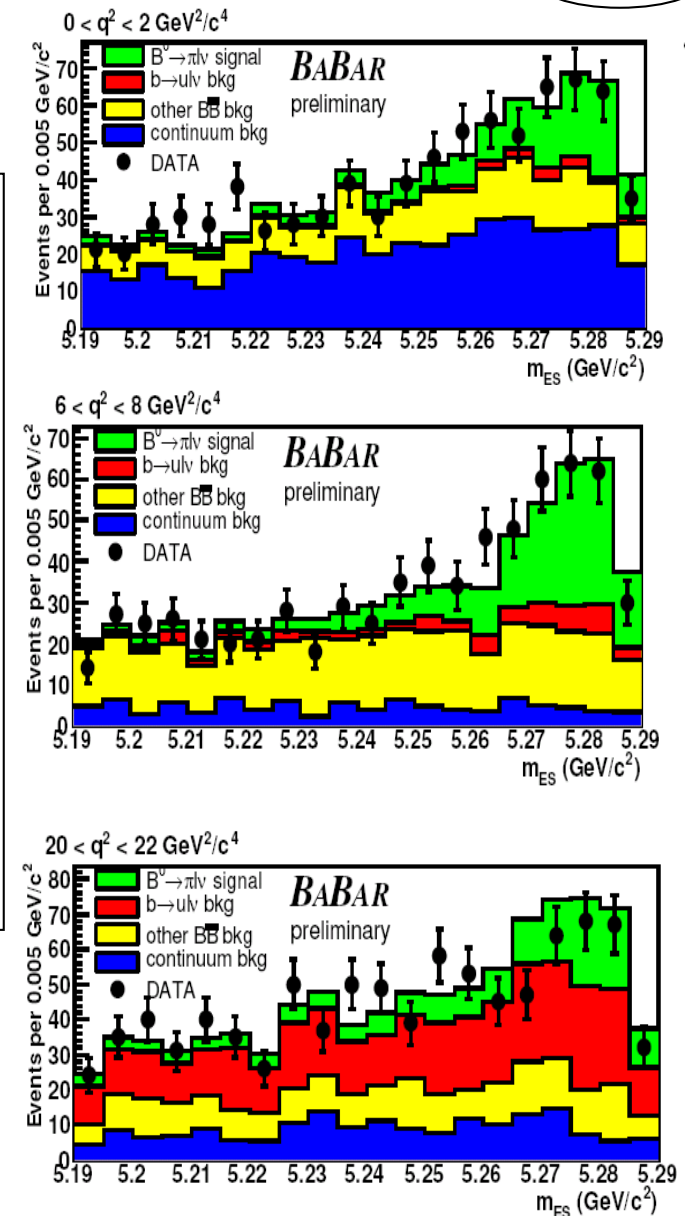
compared with previous untagged analysis (PRD72, 051102) what is new in this approach:

• no ‘neutrino quality’ cuts :

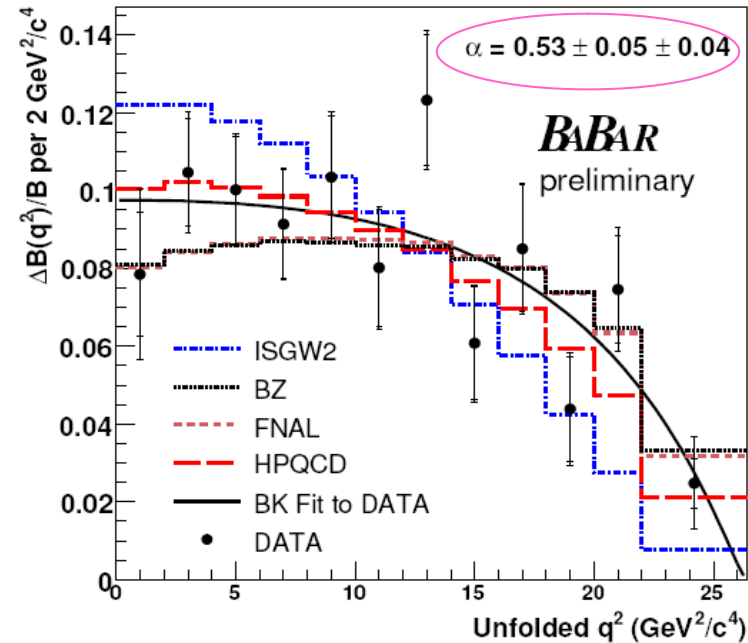
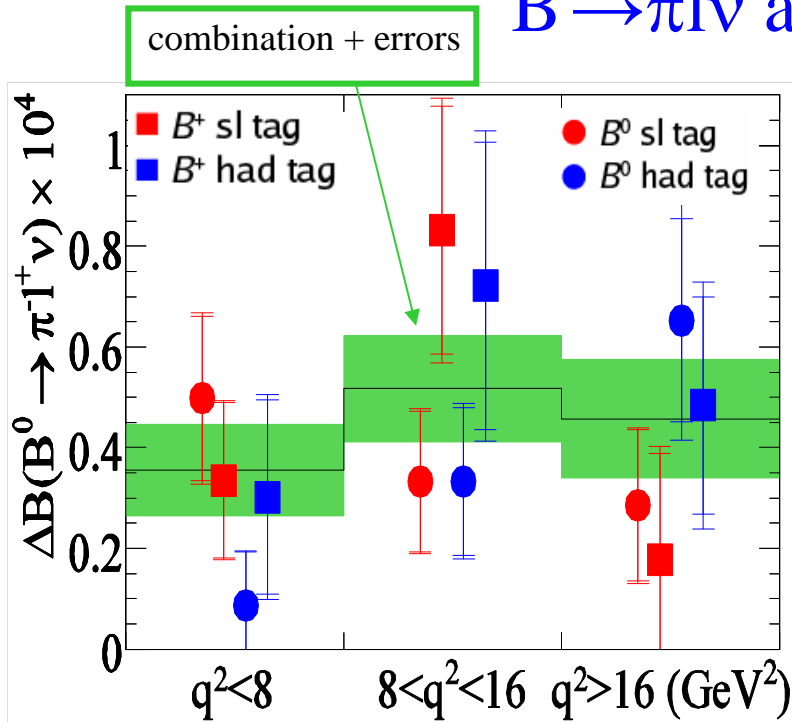
▣ increased signal efficiency : 5 → 25 signal ev./fb⁻¹ ,
somewhat higher background

▣ use $q^2 = (p_B - p_\pi)^2$ instead of $q^2 = (p_l + p_\nu)^2$

• fit signal+background in $\Delta E - m_{ES}$ on **12** signal bins of q^2



B → πlν and |V_{ub}| : results



$$B(B^0 \rightarrow \pi^- l^+ \nu) = (1.33 \pm 0.17_{\text{stat}} \pm 0.11_{\text{syst}}) \times 10^{-4}$$

$$B(B^0 \rightarrow \pi^- l^+ \nu) = (1.44 \pm 0.08_{\text{stat}} \pm 0.10_{\text{syst}}) \times 10^{-4}$$

compare shape with theo. predictions

	q^2 (GeV ² /c ⁴)	$ V_{ub} $ (10 ⁻³)	$ V_{ub} $ (10 ⁻³)
Ball-Zwicky [6]	< 16	$3.2 \pm 0.2 \pm 0.1^{+0.5}_{-0.4}$	$3.6 \pm 0.1 \pm 0.1^{+0.6}_{-0.4}$
HPQCD [3]	> 16	$4.5 \pm 0.5 \pm 0.3^{+0.7}_{-0.5}$	$4.1 \pm 0.2 \pm 0.2^{+0.6}_{-0.4}$
FNAL [4]	> 16	$4.0 \pm 0.5 \pm 0.3^{+0.7}_{-0.5}$	$3.6 \pm 0.2 \pm 0.2^{+0.6}_{-0.4}$
APE [5]	> 16	$4.1 \pm 0.5 \pm 0.3^{+1.6}_{-0.7}$	$3.7 \pm 0.2 \pm 0.2^{+1.4}_{-0.7}$

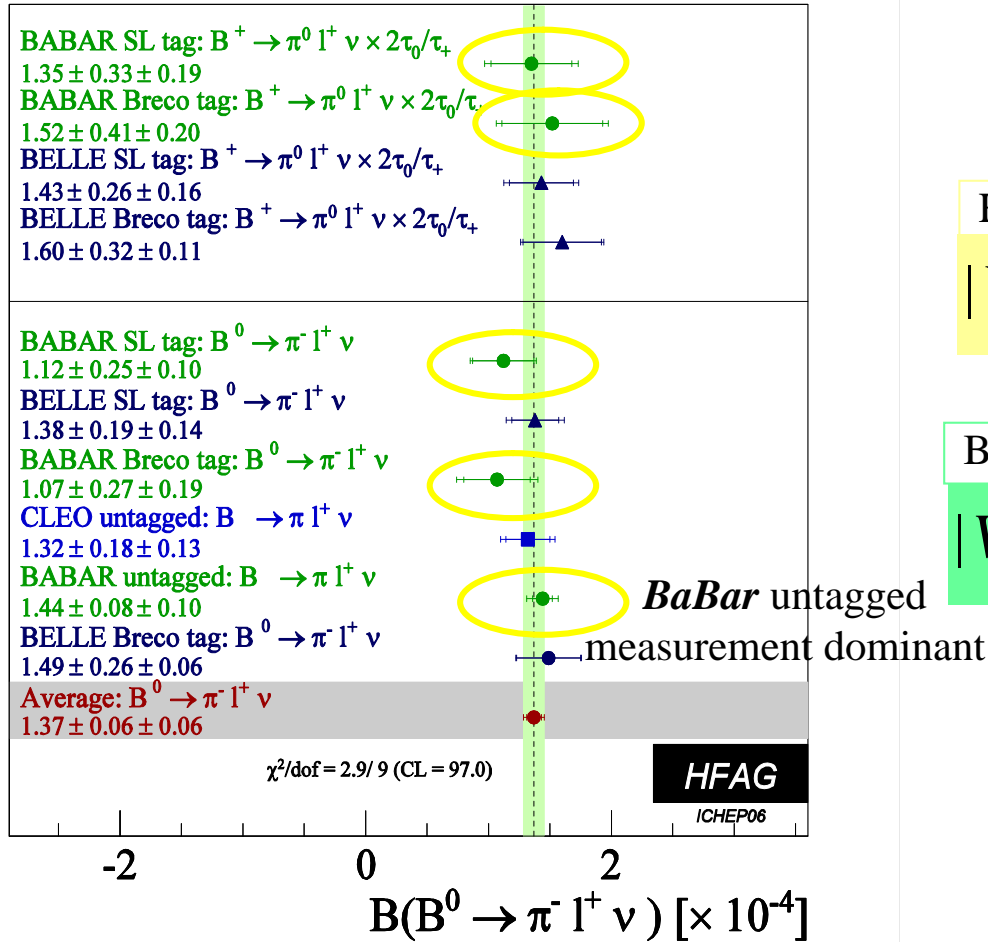
tagged

untagged

QCD calculation	stat+syst errors	
	χ^2	Prob(χ^2) (%)
ISGW2 [7]	34.1	0.07
Ball-Zwicky [6]	13.0	37.2
FNAL [4]	12.5	41.0
HPQCD [3]	10.2	60.2

incomp.
 good
 agreement

exclusive $|V_{ub}|$: summary



exclusive $|V_{ub}|$: averages from HFAG

HPQCD, $q^2 > 16 \text{ GeV}^2$

$$|V_{ub}| = (3.93 \pm 0.26_{\text{exp}}^{+0.59}_{\text{theo}(FF)}) \times 10^{-3}$$

Ball-Zwicky, $q^2 < 16 \text{ GeV}^2$

$$|V_{ub}| = (3.38 \pm 0.12_{\text{exp}}^{+0.56}_{\text{theo}(FF)}) \times 10^{-3}$$

errors for exclusive $|V_{ub}|$
dominated by FF uncertainty

$$\text{BR}(B^0 \rightarrow \pi^- \ell^+ \nu) = (1.37 \pm 0.06 \pm 0.06) \times 10^{-4}$$

World average

B → ηlv and B → η'lv with hadronic tag

Independent measurements of various B → X_u lv decay modes important to further constraint theoretical models

❖ hadronic tag

❖ reconstruction of recoil :

↳ Lepton momentum in the B_{recoil} rest frame: $p^* > 0.5 \text{ GeV}/c$ for electrons and $p^* > 0.8 \text{ GeV}/c$ for muons

↳ meson reconstructed in

$$\eta \rightarrow \gamma\gamma$$

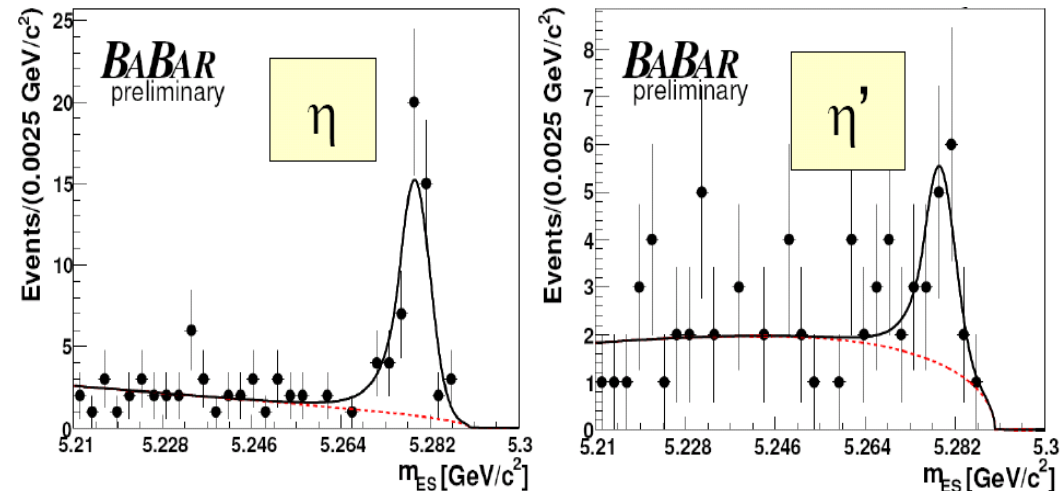
$$\eta \rightarrow \pi^+\pi^-\pi^0$$

$$\eta \rightarrow \pi^0\pi^0\pi^0$$

$$\eta' \rightarrow \rho\gamma$$

$$\eta' \rightarrow \eta\pi^+\pi^-$$

❖ fit to m_{ES} distributions to extract signal yields



$$\mathcal{BR}(B^+ \rightarrow \eta l^+ \nu) < 1.4 * 10^{-4} \text{ (90\% CL)}$$

$$\mathcal{BR}(B^+ \rightarrow \eta l^+ \nu) = (0.84 \pm 0.27_{\text{stat}} \pm 0.21_{\text{syst}}) * 10^{-4}$$

$$\mathcal{BR}(B^+ \rightarrow \eta' l^+ \nu) < 1.3 * 10^{-4} \text{ (90\% CL)}$$

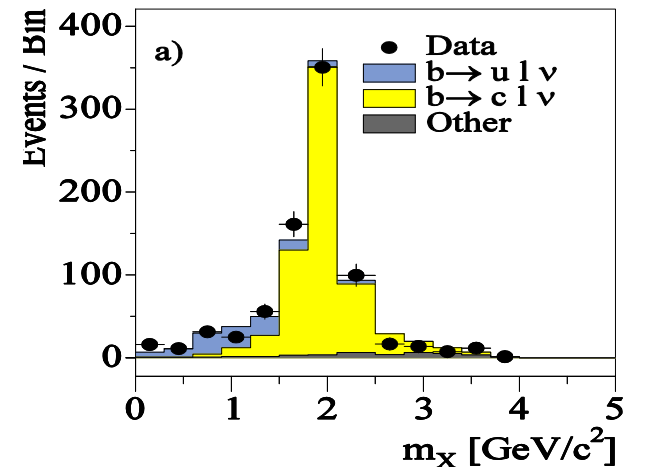
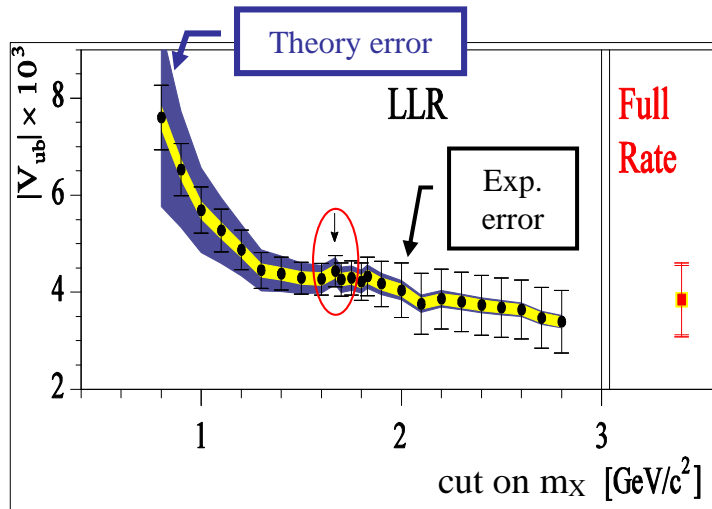
still limited by statistics

Inclusive $|V_{ub}|$ with reduced Shape Function dependence

previous inclusive $|V_{ub}|$ results had large uncertainties due to Shape Function parameters (b quark motion inside B meson) measured in $b \rightarrow s\gamma$ and $b \rightarrow cl\nu$ decays

- measure m_X spectrum; use **two approaches to reduce Shape Function dependence**
 - Relating $b \rightarrow ul\nu$ to $b \rightarrow s\gamma$ using weight functions
 - Measure full rate

Leibovich, Low and Rothstein:
PRD61, 053006 / PRD62, 014010



LLR : $M_X < 1.67 \text{ GeV}$:

$$|V_{ub}| = (4.43 \pm 0.38_{stat} \pm 0.25_{syst} \pm 0.29_{theo}) \times 10^{-3}$$

HQE : $M_X < 2.50 \text{ GeV}$:

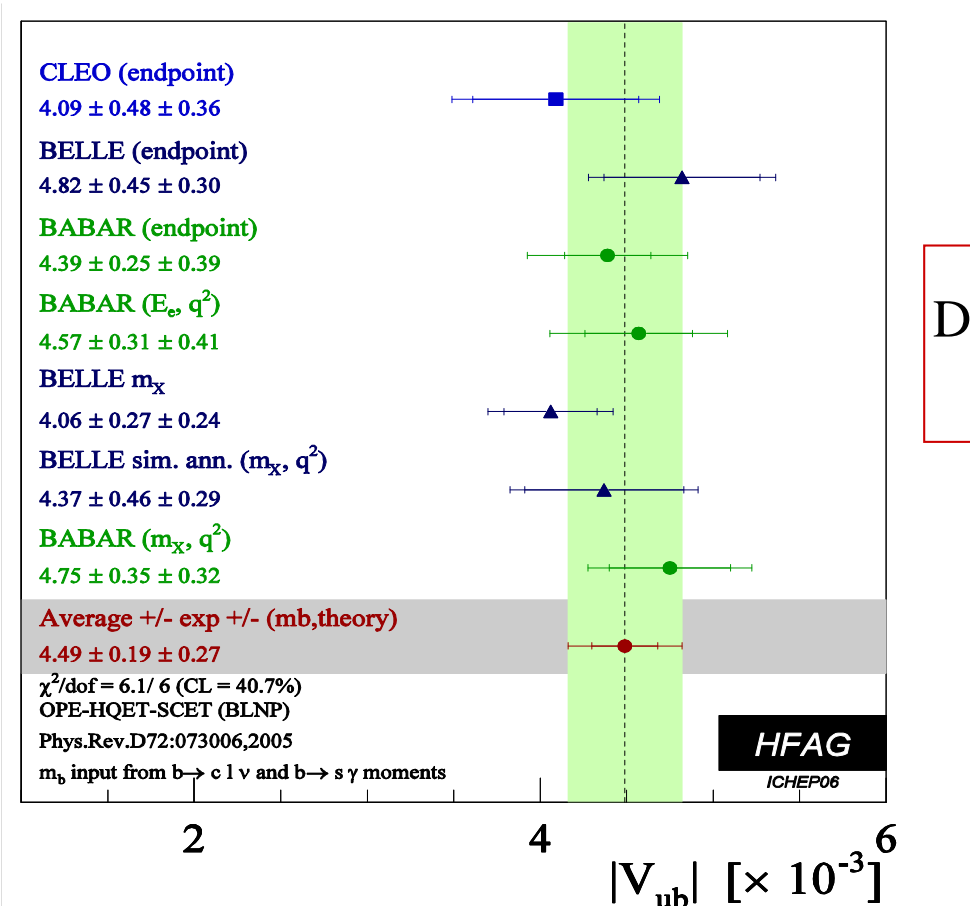
$$|V_{ub}| = (3.84 \pm 0.70_{stat} \pm 0.30_{syst} \pm 0.10_{theo}) \times 10^{-3}$$

reduced theory error as no extrapolation to full rate necessary

Standard local
HQE for full rate:
Uraltsev
hep-ph/9905520
Hoang, Ligeti,
Manohar
PRD 59, 074017 (1999)

inclusive $|V_{ub}|$: summary

● *LLR SF free (BaBar)*



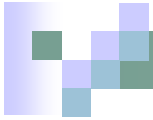
Different theoretical approaches (BNLP, DGE, LLR) give consistent results

inclusive $|V_{ub}|$ world average
 using BNLP and SF from $b \rightarrow c l \nu$ and $b \rightarrow s \gamma$



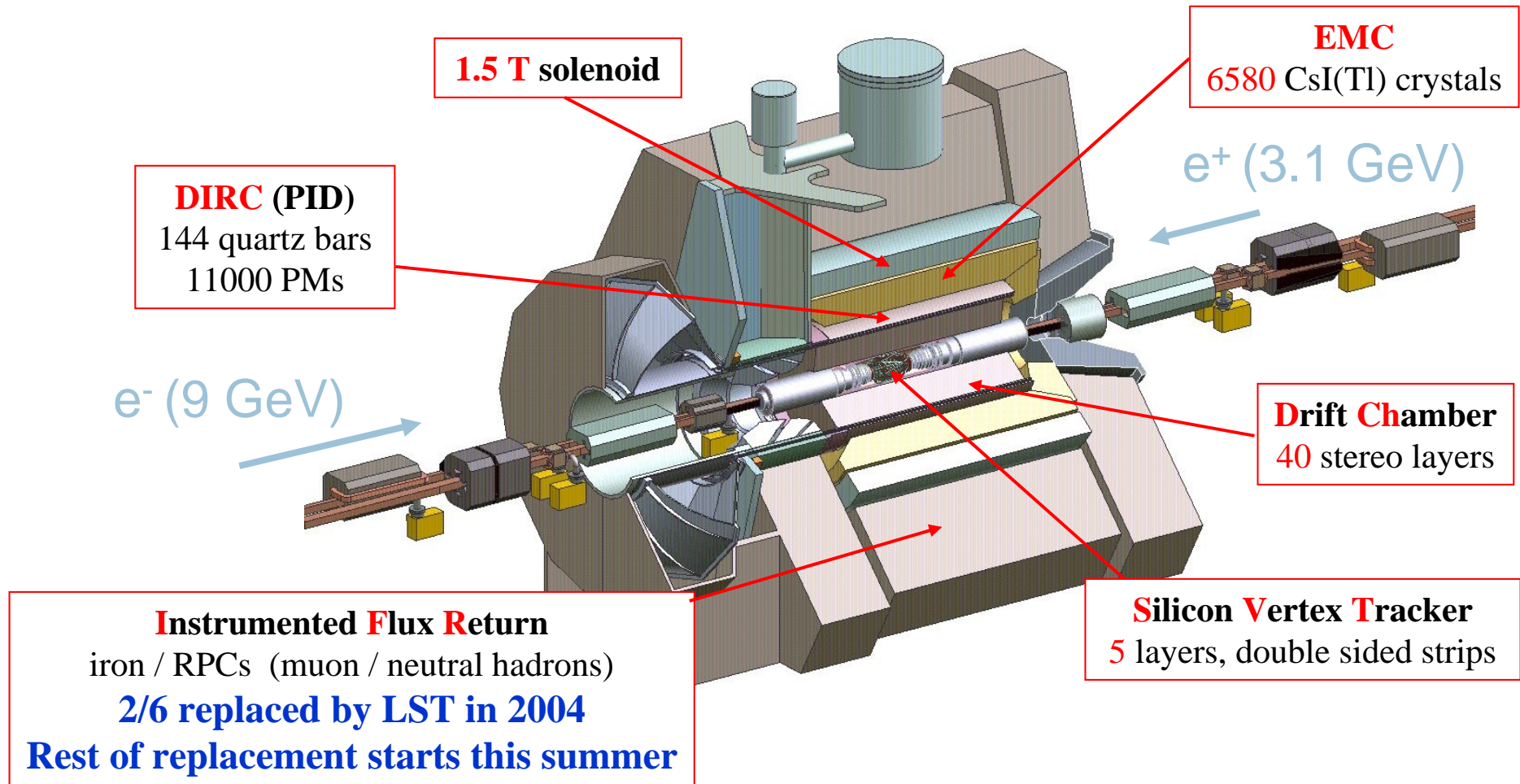
Summary

- new BaBar $B \rightarrow D^* l \nu$ form factors and improved $|V_{cb}|$ measurements
- first direct BaBar measurements of $\Gamma(B^- \rightarrow D^{(*)} l \nu) / \Gamma(B^- \rightarrow D X l \nu)$: currently most precise
- new exclusive $|V_{ub}|$ determination :
 - tag analysis : combined results comparable precision as published untagged measurement
 - new untagged ν reco analysis : most precise measurement for $BR(B \rightarrow \pi l \nu)$ to date and dominate the current world average
- new inclusive $|V_{ub}|$ determination : results consistent with previous measurements
 - Shape Function ‘independent’ $|V_{ub}|$ extraction



Backup Slides

The BaBar Detector



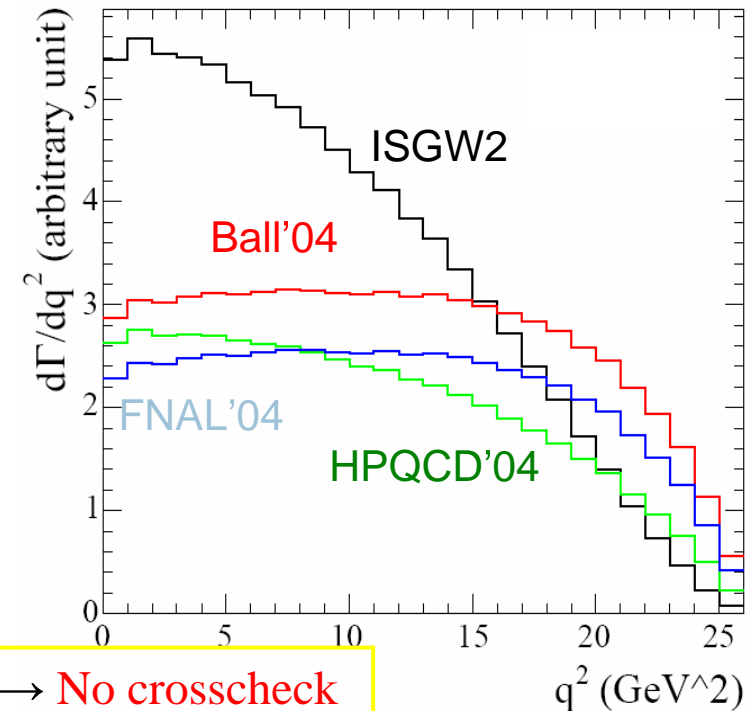
exclusive SL decays: theory

Theory must predict **form factors** to describe hadronization process

$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

Theoretical models:

- ✓ **Light Cone Sum Rules** (*Phys. Rev. D* **71**, 014015(2005))
 - ✓ valid for $q^2 < 14 \text{ GeV}^2$
- ✓ **Lattice QCD**
 - ✓ unquenched calculation by **HPQCD** (hep-lat/0408019), **FNAL** (hep-lat/0409116)
 - ✓ valid for $q^2 > 15 \text{ GeV}^2$
- ✓ Use parametrizations (e.g. Becirevic-Kaidalov) to extrapolate to full q^2 range
- ✓ Quark models : ISGW II (*Phys. Rev. D* **52**, (1995)2783)
(no error quoted)



LQCD and LCSR valid in different q^2 ranges → **No crosscheck**
important to measure differential decay rate as function of q^2

exclusive SL decays : Theory and Uncertainties

Theo. Form Factor uncertainties enter **twice** in measurement :

- ✦ FF shape → acceptance

Measure with data to reduce dependence on theoretical predictions

- ✦ FF normalization → extraction of V_{ub} from partial BRs

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow \pi\ell\nu)}{\Gamma_{th} \cdot \tau_{B^0}}},$$

10-13% error for LCSR and LQCD in their respective validity ranges

15-17% error for full q^2 range

Understanding inclusive SL decays

- theory must predict signal spectra (Operator Product Expansion, Soft Collinear Effective Theory)
- total $B \rightarrow X_u l \nu$ decay rate from OPE (theory error $\sim 5\%$)

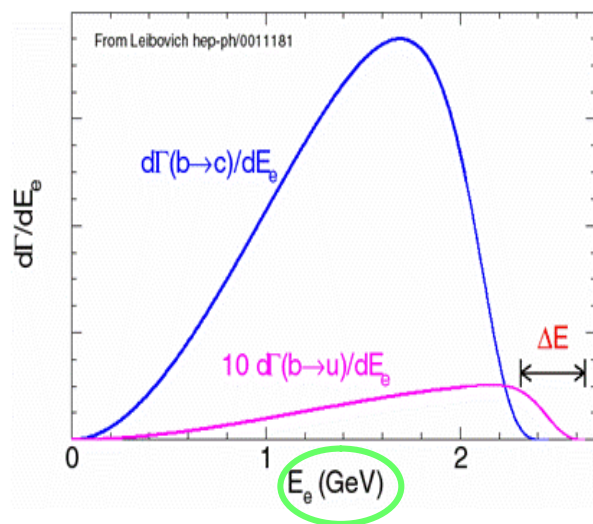
$$\Gamma\left(\bar{B} \rightarrow X_u l \bar{\nu}\right) = \frac{G_F^2 m_b^5}{192\pi^3} |V_{ub}|^2 \times \left\{ 1 + O(\alpha_s) + O\left(\frac{1}{m_b^2}\right) \right\}$$

- but...inclusive decay width cannot be directly measured
 - experiments measure partial widths in limited region of phase space that are free from the $B \rightarrow X_c l \nu$ background
 - cuts on E_l , M_X , q^2 to suppress charm ($\Gamma_c = 50 \times \Gamma_u$)
- non-perturbative Shape Function (SF) must be used to calculate partial rates
 - SF depends on 2 parameters related to the mass and kinetic energy of the b-quark: Λ or m_b and λ_1 or μ_π^2
 - SF cannot be computed and **must be determined experimentally**:
 - from the photon energy spectrum in $b \rightarrow s \gamma$
 - from leptonic/hadronic spectra in $b \rightarrow c l \nu$

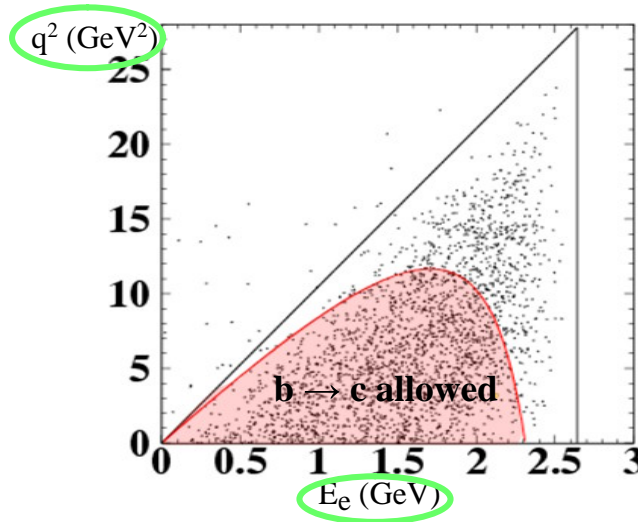
V_{ub} from partial $B \rightarrow X_u lv$ decay rates : experimental approaches

$\Gamma_c = 50 \times \Gamma_u$: use difference in kinematics to separate ulv and clv

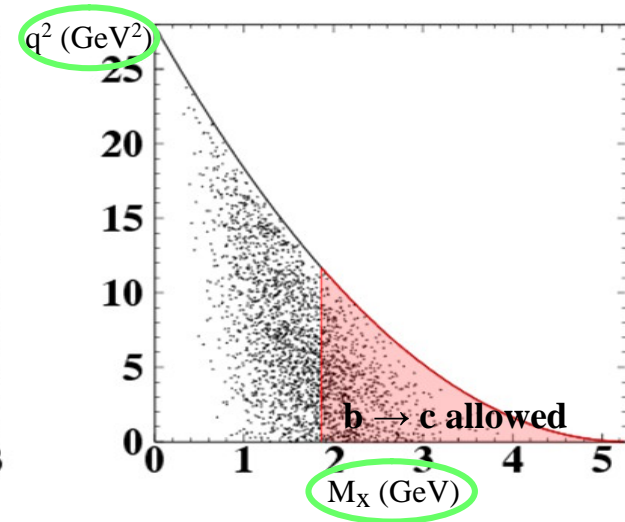
Different types of partial decay rate measurements:



electron endpoint
hep-ex/0509040



E_e and q^2 regions
with ν reconstruction

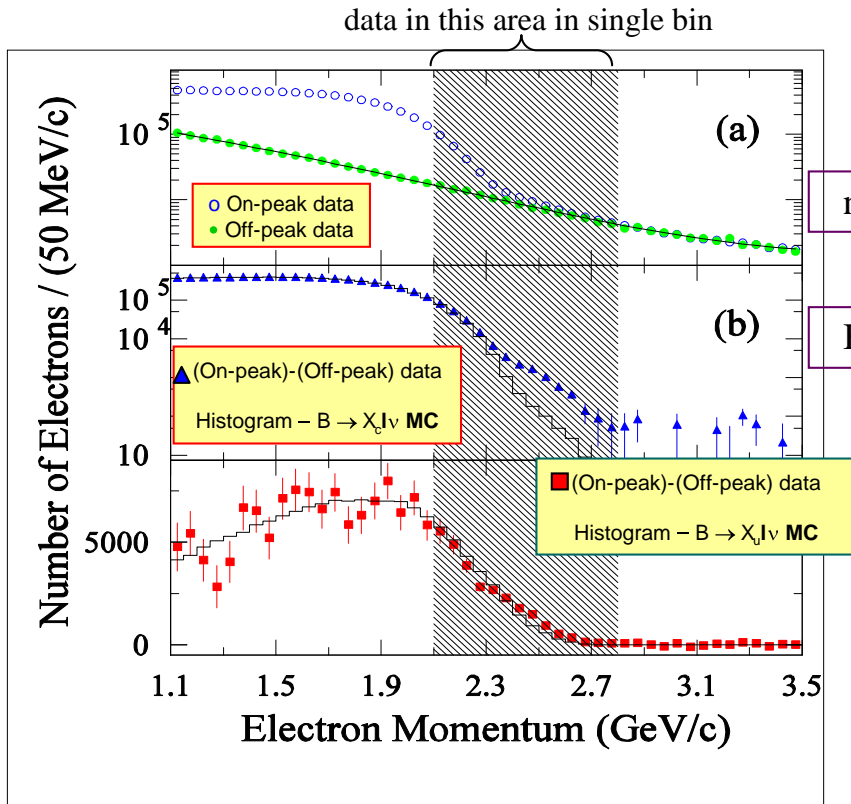


M_X and q^2 regions
with Breco tag

$|V_{ub}|$ from inclusive $B \rightarrow X_u l \nu$ endpoint spectrum

80 fb⁻¹

accurate model of bkg is crucial : $S/N \sim 1/14$



$2.0 < E_e < 2.6$ GeV

non BB bkg subtracted using off-peak data

$B \rightarrow D^{(*)} l \nu$ from MC

● electrons energy range : $2.0 < E_e < 2.6$ GeV

● fully correct spectrum (efficiency, resolution, final state radiation, etc..)

$$\Delta B (B \rightarrow X_u l \nu) = (0.572 \pm 0.041_{\text{stat}} \pm 0.065_{\text{syst}}) \times 10^{-3}$$

$$|V_{ub}| = (4.44 \pm 0.25_{\text{exp}} \pm 0.42_{\text{SF}} \pm 0.22_{\text{th-BLNP}}) \times 10^{-3}$$

b \rightarrow s γ , b \rightarrow cl ν moments (from BaBar) combined fit

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